

## STUDIES IN THE INDOLE SERIES—IV<sup>1</sup> THE SYNTHESIS OF THE VOBASINE SKELETON<sup>2</sup>

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(Received in Japan 6 October 1967; accepted for publication 17 January 1968)

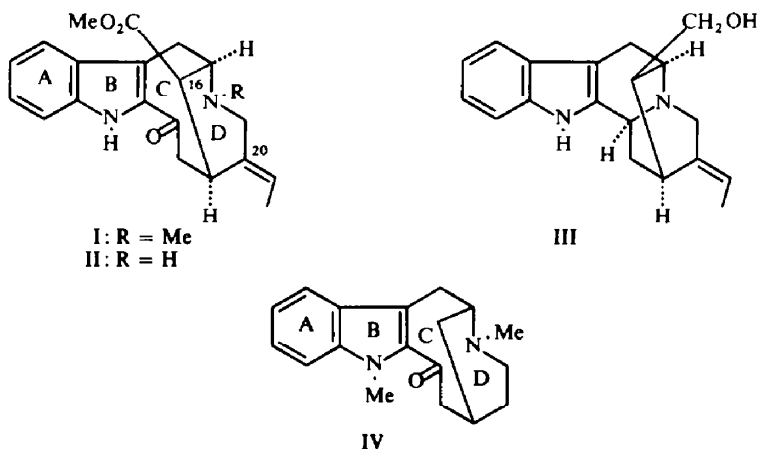
**Abstract**—The first synthesis of 1-methyl-16-demethoxycarbonyl-20-desethylidenevobasine (IV) having the carbon skeleton of the 2-acylindole alkaloids as represented by vobasine (I) has been carried out.

Dimethyl esters (VIIIa and VIIIb), obtained from *N*-benzyltryptophan methyl ester (VIIa) and its 1-methyl derivative (VIIb) respectively, underwent Dieckmann cyclization to give the methyl  $\beta$ -ketoesters (IXa and IXb). Alcoholysis of IXa and IXb followed by alkylation furnished the dibenzyl esters (XVIa and XVIb), which were reduced and decarboxylated to the carboxylic acids (XVIIa and XVIIb). The Huang-Minlon reduction of XVIIa and XVIIb was followed by cyclization with polyphosphoric acid to the tetracyclic ketolactams XXa and XXb, which were reduced with LAH, and oxidized with chromic acid to the ketones XXIIa and XXIIb. Compound XXIIa and XXIIb resisted the catalytic debenzylation and only XXIIb yielded a small amount of the carbinolamine (XXVIIb).

Thus XIXb-1 was debenzylated with sodium in liquid ammonia, and the product (XXIIIb) was successively treated with polyphosphoric acid, LAH and chromic acid to give XXVIIb. Finally methylation of XXVIIb afforded the desired vobasine derivative (IV).

RECENTLY a large number of 2-acylindole alkaloids have been isolated from a variety of apocynaceous plants and their structures elucidated.<sup>3</sup>

Vobasine (I), a principal member of this class of alkaloids, was the first to be structurally investigated. The correct gross structure was established in 1961,<sup>4</sup> and an X-ray crystallographic study confirmed this structure.<sup>5</sup> Its absolute configuration

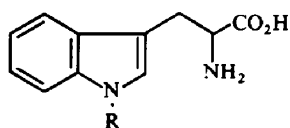


was also determined<sup>6</sup> by transformation of perivine (II), i.e. *des*-N<sub>6</sub>-methylvobasine, to normacusine-B(III),<sup>7</sup> but to date no synthetic efforts toward vobasine-type alkaloids have been recorded. We now describe the synthesis of 1-methyl-16-

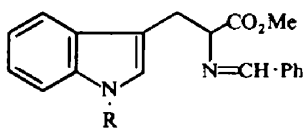
demethoxycarbonyl-20-desethylidenevobasine (IV), a totally synthetic compound containing the full carbon skeleton of vobasine and its congeners.

As an indole was an obvious choice as starting material for the synthesis of the vobasine skeleton, rac-tryptophan (Va) and its 1-methyl derivative<sup>8</sup> (Vb) were chosen. The synthetic experiments involved indole-N unsubstituted compounds (suffix "a") and indole-N methyl derivatives (suffix "b").

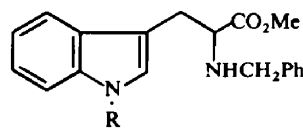
Tryptophan (Va) and 1-methyltryptophan (Vb) were converted to N-benzylidene-tryptophan methyl ester<sup>9</sup> (VIa) and its 1-methyl derivative<sup>10</sup> (VIb) respectively.



Va: R = H  
Vb: R = Me



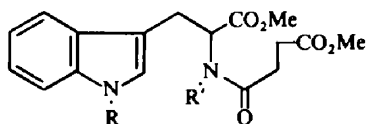
VIa: R = H  
VIb: R = Me



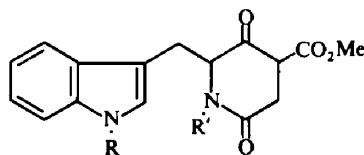
VIIa: R = H  
VIIb: R = Me

Catalytic hydrogenation of the Schiff bases (VIa and VIb) over PtO<sub>2</sub> in MeOH afforded the corresponding N-benzyl derivatives (VIIa and VIIb) which were characterized in the form of their crystalline hydrochlorides. VIIa and VIIb were transformed to their dimethyl esters (VIIIa and VIIIb) by reaction with methyl 3-chloroformylpropionate under Schotten-Baumann conditions, whereas 1-methyltryptophan methyl ester furnished a secondary amide (VIIIc) by a similar reaction.

The Dieckmann condensation of the dimethyl esters (VIIIa, VIIIb and VIIIc) completed the carbon skeleton of ring D. The reaction conditions for the Dieckmann condensation have been varied according to substrates, i.e. a combination of sodium hydride-tetrahydrofuran,<sup>11</sup> sodium hydride-DMSO,<sup>12</sup> or sodium hydride-toluene-



VIIIa: R = H, R' = CH<sub>2</sub>Ph  
VIIIb: R = Me, R' = CH<sub>2</sub>Ph  
VIIIc: R = Me, R' = H



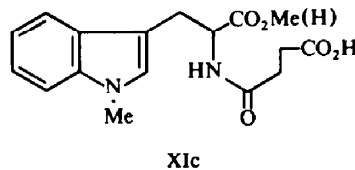
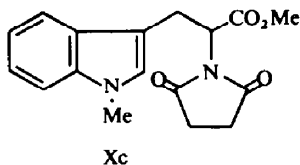
IXa: R = H, R' = CH<sub>2</sub>Ph  
IXb: R = Me, R' = CH<sub>2</sub>Ph  
IXc: R = Me, R' = H

MeOH<sup>10</sup> may be used. In the condensation of the secondary amide (VIIIc), the action of sodium hydride in dioxan proved to be the most effective as shown in Table 1, but as the  $\beta$ -ketoester (IXc) and the imide (Xc) are both formed in this case,<sup>13</sup> the best yield of the former was 34.4%. The imide (Xc) was not isolated but its hydrolysis product (XIc) was obtained and gave VIIIc with diazomethane. Dioxan is a suitable solvent for VIIIb, and one molar equivalent of sodium hydride was sufficient to cause cyclization. The condensation of VIIIa was consistent with these results, and as imide formation is not possible with the tertiary amides (VIIIa and VIIIb), the  $\beta$ -ketoesters (IXa and IXb) were obtained in good yields.

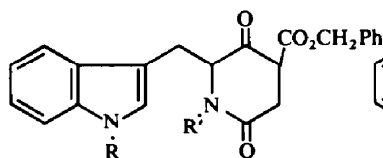
Conversion of the methyl  $\beta$ -ketoesters (IXa, IXb and IXc) to their benzyl derivatives (XIIa, XIIb and XIIc) was smoothly accomplished by treatment with hot benzyl alcohol in accord with the previous work.<sup>11</sup> Catalytic hydrogenation of the benzyl  $\beta$ -ketoesters (XIIb and XIIc) over Pd-C in ethanol was accompanied by spontaneous

TABLE 1. DIECKMANN CYCLIZATION OF DIMETHYL ESTERS (VIII)

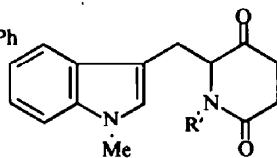
s.m.	Base	Base mole	Solvent	Bath Temp	Time	Yield of IX
VIIIc	NaH	2	Dioxan	105°	4 hr	34.4%
	NaH	1	Dioxan	45°	1 hr	6.0
	t-BuOK	2	Dioxan	85°	10 min	
	t-BuOK	2	Dioxan	Reflux	6 hr	27.6
	t-BuOK	3	Dioxan -Toluene	Reflux	12	12.7
	t-BuOK	2	THF	Reflux	13	6.1
	t-BuOK	2	t-BuOH	Reflux	2	8.0
	t-BuOK	2	DMSO	85°	1	trace
VIIIb	NaH	2	Dioxan	105°	5 hr	68.8%
	NaH	1	Dioxan	105°	5.5	79.3
	NaH	1.5	Toluene	Reflux	6.5	8.6
VIIIa	NaH	2	Dioxan	105°	3.5 hr	83.9%
	NaH	2	DMSO	Room temp	6	39
	LiH	2	Dioxan	105°	5	trace



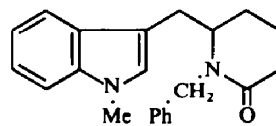
decarboxylation to give the ketones (XIIIb and XIIIc), the former of which on Huang-Minlon reduction gave the lactam (XIVb).



XIIa: R = H, R' = CH<sub>2</sub>Ph  
 XIIb: R = Me, R' = CH<sub>2</sub>Ph  
 XIIc: R = Me, R' = H



XIIIb: R' = CH<sub>2</sub>Ph  
 XIIIc: R' = H



XIVb

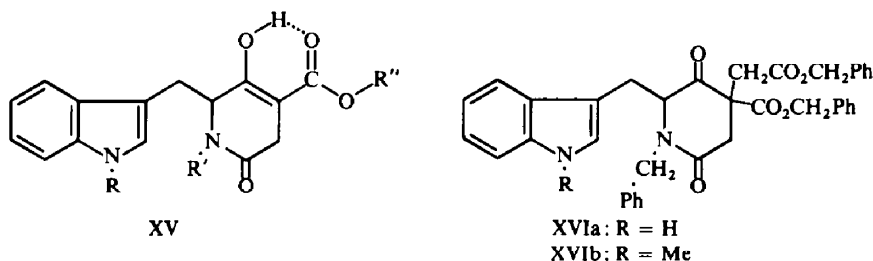
Structures of  $\beta$ -ketoesters. The  $\beta$ -ketoesters (IX and XII) appear to be mainly enolic, since they give an instantaneous and intense coloration (reddish-purple) with ferric chloride,<sup>14</sup> and show the CO stretching vibrations of the chelated enolic tautomers at 1670–1690 cm<sup>-1</sup> in their IR spectra. The UV spectra show maxima at about 258 m $\mu$  ( $\epsilon$  9000) in addition to the usual indolic absorptions at about 220 m $\mu$  ( $\epsilon$  40,000) and 280–285 m $\mu$  ( $\epsilon$  6000). These characteristic spectral features<sup>14</sup> are shown in Table 2 in comparison with those of the reference compounds (XIIIb, XIIIc and XIVb). It is, therefore, concluded that the  $\beta$ -ketoesters possess the enol

TABLE 2. IR AND UV SPECTRA OF  $\beta$ -KETOESTERS (IX AND XII)

Compd	IR $\text{cm}^{-1}$			UV $\text{m}\mu$ ( $\epsilon \times 10^{-3}$ )			
	in KBr		in $\text{CHCl}_3$	in 90% aqueous EtOH			
IXa	1690, 1640	1682, 1636	218 (42.0)	258 (8.93)	278 (7.21)*	289 (5.42)	
IXb	1683, 1642	1681, 1636	222.5 (39.9)	258 (7.81)	286 (6.17)*	301 (3.72)*	
IXc	1673, 1636	1666, 1637	222.5 (42.8)	260 (9.58)	287 (7.09)*	299 (5.05)*	
XIIa	1679, 1643	1679, 1633	217 (41.8)	258 (10.0)	278 (7.31)*	289 (5.34)	
XIIb	1684, 1632	1680, 1635	222 (39.7)	257 (8.83)	287 (6.14)*	302 (3.65)*	
XIIc	1673, 1639	1662, 1631	223 (38.4)	258 (9.92)	287 (6.66)*	301 (3.96)*	
XIIIb	1729, 1633	1734, 1646	221.5 (39.9)		287.5 (6.06)		
XIIIc	1736, 1676	1735, 1676	222.5 (38.8)		287.5 (6.25)		
XIVb	1632	1622	224 (32.6)		290 (5.16)		

\* shoulder.

structures (XV) rather than the tautomeric ketoester structures (IX and XII) both in the solid state and in solution.



Construction of the vobasine skeleton. Treatment of XIIa and XIIb with benzyl bromoacetate in acetone in the presence of potassium carbonate furnished the oily dibenzyl esters (XVIa and XVIb), which show a negative ferric chloride test and were found to be diastereoisomeric mixtures of possible C-alkylated products by TLC, spectral data, and reaction sequences. Alkylation in dioxan in the presence of sodium hydride or in xylene in the presence of potassium carbonate was not as effective as a combination of acetone and potassium carbonate as observed in the alkylation of 3,3'-bioxindoles.<sup>15</sup> Further work is required to explain this interesting observation.\*

The oily dibenzyl esters (XVIa and XVIb) were subjected to catalytic debenzylation and subsequent decarboxylation over Pd-C in EtOH or tetrahydrofuran to give the required keto-carboxylic acids (XVIIa and XVIIb). It was not clear whether these compounds were diastereoisomeric mixtures although they were homogeneous in an extensive TLC investigation. When a mixture of EtOH and ethyl acetate was used as a solvent in the reduction of XVIb, the ethyl ester (XVIIIb) was obtained in addition to the desired XVIIb. The ester (XVIIIb) was easily hydrolysed with aqueous potassium hydroxide to give XVIIb. XVIIb was also obtained by the hydrolysis of

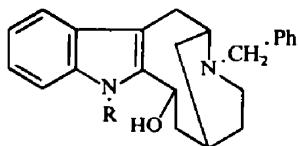
\* The effects of solvent, halide, base and temperature on the alkylation of the ambident anion derived from ethyl acetoacetate have been investigated.<sup>16</sup>



carboxylic acids (XIXb-1 and XIXb-2). Finally the mass spectra confirm these conclusions.\*

Treatment of the carboxylic acid (XIXb-2) with PPA yielded a compound, m.p.  $>290^\circ$ , together with recovered starting material. Although the structure of the product has not been elucidated and it may be impossible to decide the configuration of XIXb-1 and XIXb-2, the reaction with PPA suggests that XIXb-1 is the 4,6-cis isomer which could be cyclized to the 8-membered ring compound.

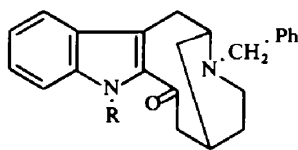
The action of LAH in refluxing tetrahydrofuran proved efficient in the simultaneous reduction of both the lactam and ketone functions in XXa and XXb. The reduction products, which were probably mixtures of stereoisomers, were the expected amino-alcohols (XXIa and XXIb) as shown by strong new peaks at about  $1070\text{ cm}^{-1}$  ( $\nu_{\text{C-O}}$ ) and the almost complete disappearance of the CO absorptions in the IR. The OH absorptions were not clearly assignable. The UV spectra show the presence of a typical, non conjugated 2,3-dialkyl substituted indole chromophore.



XXIa: R = H  
XXIb: R = Me

Borohydride reduction of vobasine (I) and pervine (II), both of which have a methoxycarbonyl group at C-16, was reported<sup>4,6</sup> to give  $3\beta$ -hydroxy compounds because of the attack of borohydride ion from the sterically less crowded side of each molecule. Both XXa and XXb lack a substituent at the position corresponding to above C-16, and although it was not clear which hydroxy compound was predominantly produced by LAH reduction, the asymmetry introduced at this point was eliminated during the next stage.

Mild oxidation of the amino-alcohols (XXIa and XXIb) with chromium trioxide in pyridine<sup>20</sup> furnished the corresponding ketones (XXIIa and XXIIb) whose IR



XXIIa: R = H  
XXIIb: R = Me

and UV spectra are typical of 2-acylindoles.<sup>1,3,18</sup> The attempted catalytic debenzilation of XXIIa was unsuccessful, but XXIIb afforded a debenzylated product in low yield. Its structure will be discussed later.

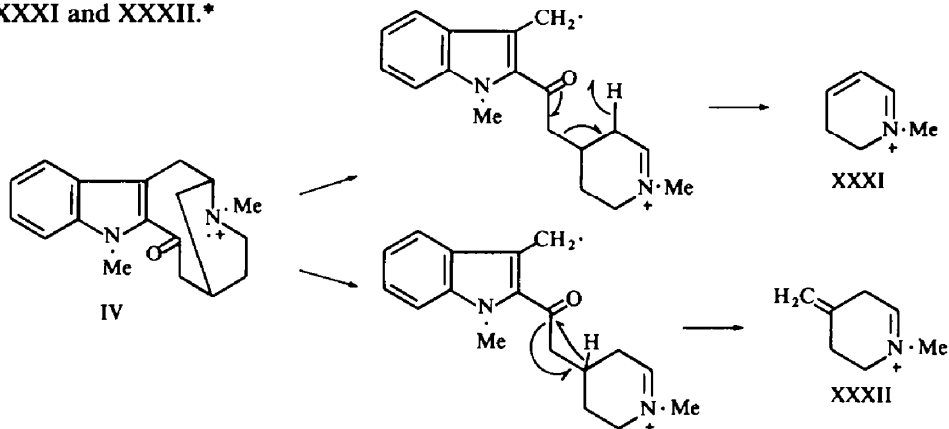
Finally, debenzilation of XIXb-1 with sodium in liquid ammonia yielded the debenzylated carboxylic acid (XXIIIb) according to the procedure described by Sugasawa and Fujii<sup>21</sup> for N-benzyl lactams. Cyclization of XXIIIb to the tetracyclic keto-lactam (XXIVb) was effected with PPA. Reduction with LAH afforded the amino-alcohol (XXVb) which was subsequently oxidized with chromic acid to furnish the same compound as that obtained by debenzilation of XXIIb.

\* The mass spectra of vobasine type compounds in this report will be discussed in the subsequent publication in this series. T. Shioiri, T. Nakashima, and S. Yamada, *Tetrahedron*, in press.

A portion of the work was presented before the 2nd Symposium on the Mass Spectrometry of Organic Compounds Abstracts p. 20. Tokyo, 24-25 November (1966).



(239, 316  $m\mu$ ;  $\epsilon$  16,100, 18,700) confirm the structure, 1-methyl-16-demethoxy-carbonyl-20-desethylidenevobasine (IV). No transannular interaction between  $N_6$  and the CO group<sup>23</sup> analogously to vobasine<sup>4</sup> (I) has been observed even in acidic solution. The mass spectrum of IV provides further support for the proposed structure and its fragmentation pattern bears significant similarities to that of vobasine<sup>4, 24</sup> as shown below. Two peaks at  $m/e$  96 (base peak) and 110 are attributed to the ions XXXI and XXXII.\*



The completion of this synthetic sequence will provide a method for the total synthesis of vobasine (I) and related 2-acylindole alkaloids.

#### EXPERIMENTAL

All m.ps are uncorrected. IR spectra were measured on a JASCO-DS-301 or -402G spectrophotometer in KBr discs unless otherwise stated; UV spectra on a Cary Model 11 spectrophotometer in 90% EtOH aq unless otherwise stated, and NMR spectra on a Varian HR-100 instrument at 100 Mc and given in the  $\tau$  scale with reference to TMS as the internal standard. Solvents used for extraction were dried over anhyd.  $\text{Na}_2\text{SO}_4$  after extraction, and removed under reduced pressure. Specimens for analysis were dried over  $\text{P}_2\text{O}_5$  at 5 mm Hg. Experiments about compounds of indole-N methyl series are described as typical examples.

##### *N*-Benzylidene-1-methyltryptophan methyl ester (VIb)

Benzaldehyde (146 g, 1.4 mole) was added to 1-methyltryptophan methyl ester<sup>8</sup> (295 g, 1.3 mole) in abs benzene (500 ml). The reaction mixture became hot and turbid. After removal of the separated water the solvent was evaporated. Addition of benzene ( $3 \times 300$  ml) followed by vacuum evaporation quantitatively afforded the Schiff base VIb as a yellow viscous oil;  $\nu_{\text{max}}^{\text{carb}}$   $\text{cm}^{-1}$  1740 ( $\text{CO}_2\text{Me}$ ), 1640 ( $\text{C}=\text{N}$ ), 738, 692 (benzene).

##### *N*-Benzylidenetryptophan methyl ester (VIa)

The condensation of tryptophan methyl ester<sup>25</sup> (33 g, 0.15 mole) with benzaldehyde (18 g, 0.17 mole) was carried out as above in benzene to give a colorless solid (43.7 g, 95.2%). Recrystallization from MeOH afforded colorless small needles, m.p. 128–129° (lit. 129°,<sup>9a</sup> 132–133°<sup>9b</sup>).  $\nu_{\text{max}}^{\text{Nujol}}$   $\text{cm}^{-1}$  1740 ( $\text{CO}_2\text{Me}$ ), 1635 ( $\text{C}=\text{N}$ ), 746, 690 (benzene).

##### *N*-Benzyl-1-methyltryptophan methyl ester (VIIIb)

The base VIb (50.9 g) in MeOH (150 ml) was hydrogenated over  $\text{PtO}_2$  (0.24 g) at room temp and atm press; the reaction ceased after 6 hr ( $\text{H}_2$  uptake a slight excess of one molar equiv). Filtration and evaporation of the filtrate gave a slightly yellow viscous oil<sup>10</sup> (51.6 g, quantitative);  $\nu_{\text{max}}^{\text{carb}}$   $\text{cm}^{-1}$  1740 ( $\text{CO}_2\text{Me}$ ), 738, 698 (benzene).

\* See footnote on page 4162



The hydrochloride, obtained by treatment of the base with 10 w/v % MeOH-HCl, was recrystallized from MeOH; colorless small needles, m.p. 232–233° (dec) (lit. 232° (dec)<sup>10</sup>). (Found: C, 66.96; H, 6.35; N, 7.78. Calc. for  $C_{20}H_{22}O_2N_2 \cdot HCl$ : C, 66.93; H, 6.46; N, 7.81 %);  $\nu_{max} \text{ cm}^{-1}$  2800–2300 ( $NH_2^+$ ), 1743 ( $CO_2Me$ ), 742, 735, 694 (benzene).

#### *N*-Benzyltryptophan methyl ester (VIIa)

The ester VIIa was obtained quantitatively from VIa as above in the form of a slightly yellow viscous oil.<sup>9b</sup>

The hydrochloride was recrystallized from benzene-MeOH (10:1) to colorless small pillars, m.p. 188° (dec). (Found: C, 65.89; H, 6.22; N, 8.30.  $C_{19}H_{20}O_2N_2 \cdot HCl$  requires: C, 66.18; H, 6.14; N, 8.12 %);  $\nu_{max} \text{ cm}^{-1}$  3400 (ind. NH), 1749 ( $CO_2Me$ ), 752, 740, 694 (benzene).

#### *N*-Benzyl-*N*-(3-methoxycarbonylpropionyl)-1-methyltryptophan methyl ester (VIIIb)

A soln of methyl 3-chloroformylpropionate<sup>26</sup> (241 g, 1.6 mole) in abs benzene (0.5 l.) was added dropwise to a stirred mixture of VIIIb (409.4 g, 1.27 mole), benzene (2 l.), and 15 w/v %  $K_2CO_3$  aq (1.5 l., 1.63 mole) at 6–10° (internal temp) during 0.5 hr. The reaction mixture was allowed to warm to room temp and after the addition of 30 w/v %  $K_2CO_3$  aq (100 ml, 0.22 mole), the mixture was stirred at room temp for 3.5 hr. Further addition of 30 w/v %  $K_2CO_3$  aq (146 ml, 0.32 mole) was followed by the addition of methyl 3-chloroformylpropionate<sup>26</sup> (45 g, 0.3 mole) and the mixture was stirred at room temp for 1 hr. The benzene layer was washed successively with 10 % HCl aq, water and sat NaCl aq. Drying and evaporation of the solvent gave a yellowish brown oil.<sup>10</sup> (539 g, 97.3 %);  $\nu_{max}^{carb} \text{ cm}^{-1}$  1740 ( $CO_2Me$ ), 1655 ( $CON<$ ), 745, 700 (benzene).

#### *N*-Benzyl-*N*-(3-methoxycarbonylpropionyl)tryptophan methyl ester (VIIIa)

This was produced in a yield of 94.8 %. For analysis a sample was recrystallized 3 times from benzene-hexane (1:1) to give colorless crystals, m.p. 135–135.5°. (Found: C, 68.48; H, 6.11; N, 6.77.  $C_{24}H_{26}O_5N_2$  requires: C, 68.23; H, 6.20; N, 6.63 %);  $\nu_{max} \text{ cm}^{-1}$  3380 (ind. NH), 1741 ( $CO_2Me$ ), 1635 ( $CON<$ ), 740, 690 (benzene);  $\lambda_{max} \text{ m}\mu$  (e) 220.5 (37,300), 283.5 (5750), 291.5 (5070).

#### *N*-(3-Methoxycarbonylpropionyl)-1-methyltryptophan methyl ester (VIIIc)

1-Methyltryptophan methyl ester (160.5 g, 0.69 mole) was allowed to react with methyl 3-chloroformylpropionate<sup>26</sup> (114 g, 0.76 mole) as above. In this case 7 w/v %  $K_2CO_3$  aq (2050 ml, 1.04 mole) and  $Et_2O$  (3000 ml) were used. The product VIIIc crystallized out during the reaction and was filtered off, washed with MeOH- $Et_2O$ , and dried giving 191 g of colorless crystals, m.p. 100–103°. The  $Et_2O$  layer was washed with 10 % HCl aq and water, and combined with the above washings. Drying and evaporation gave 16.5 g of colorless crystals, m.p. 96–99°, total yield 209.5 g (87.7 %). Two recrystallizations from benzene gave colorless needles, m.p. 103.5–104.5°. (Found: C, 62.79; H, 6.67; N, 8.15.  $C_{18}H_{22}O_5N_2$  requires: C, 62.41; H, 6.40; N, 8.09 %);  $\nu_{max} \text{ cm}^{-1}$  3358 (NH), 1737 ( $CO_2Me$ ), 1638 (Amide I), 1535 (Amide II), 730 (benzene).

1-Methyltryptophan methyl ester was recovered from 10 % HCl aq layer as its hydrochloride (6.9 g).

*N*-(3-Carboxypropionyl)-1-methyltryptophan. The ester VIIIc (1.04 g, 3 mmole) was dissolved in a mixture of 20 w/v % EtOH-KOH (0.39 g, 6 mmole) and water (0.39 ml) with slight warming. The reaction mixture was allowed to stand at room temp for 24 hr. After evaporation of EtOH, the mixture was acidified with conc HCl aq and extracted with AcOEt. The AcOEt layer was washed with water and sat NaCl aq, dried and evaporated to give slightly yellowish white solid (0.87 g, 90.5 %), m.p. 163–166°. Recrystallization from  $Me_2CO$  afforded colorless needles, m.p. 165–166°. (Found: C, 60.20; H, 5.78; N, 8.92.  $C_{16}H_{18}O_5N_2$  requires: C, 60.37; H, 5.70; N, 8.80 %);  $\nu_{max} \text{ cm}^{-1}$  3340 (NH), 1734, 1725 ( $CO_2H$ ), 1631 (Amide I), 1545 (Amide II), 739 (benzene).

The dicarboxylic acid was easily reconverted to VIIIc with excess diazomethane in MeOH- $Et_2O$ .

#### Dieckmann cyclization of dimethyl esters (VIII)

See Table 1 for the various conditions employed. Typical condensations are described below.

*Methyl 1-benzyl-6-[(1-methyl-3-indolyl)methyl]piperidine-2,5-dione-4-carboxylate* (IXb). A suspension of NaH (obtained from 6.2 g (0.13 mole) of a 50 % oil dispersion by washing with abs benzene and dioxan) in abs dioxan (50 ml) was added to a soln of VIIIb (48.3 g, 0.11 mole) in abs dioxan (100 ml) stirred under  $N_2$ . The mixture was heated at 100–110° (bath temp) for 5.5 hr. (Since the condensation was started vigor-

ously at about 50° in the case of use of large quantities of starting material, the reaction was carried out without external heating, and at 100–110° after the initial reaction subsided.) After removal of the solvent the residue was treated with water (70 ml), AcOEt (30 ml) and benzene (10 ml). The cold aqueous layer was adjusted to pH 8.5 by the addition of conc HCl aq to give the slightly yellow ppts (26.5 g), m.p. 152–154°. The filtrate was extracted with AcOEt. The AcOEt layer was combined with above AcOEt–benzene layer, dried and evaporated to give an oil (8.8 g) which was solidified by the tritulation with Me<sub>2</sub>CO, total yield 35.3 g (79.3%). Two recrystallizations from benzene–n-hexane (1:1) gave colorless prisms, m.p. 153–154.5°. (Found: C, 71.26; H, 5.83; N, 7.02. C<sub>24</sub>H<sub>24</sub>O<sub>4</sub>N<sub>2</sub> requires: C, 71.27; H, 5.98; N, 6.93%.)

*Methyl 1-Benzyl-6-(3-indolylmethyl)piperidine-2,5-dione-4-carboxylate (IXa).* The condensation was carried out as above using a mixture of VIIIa (93 g, 0.22 mole), a 50% NaH oil dispersion (24 g, 0.50 mole) and abs dioxan (800 ml). After evaporation of the dioxan the residue was dissolved in water (300 ml), and the aqueous layer was acidified with conc HCl aq (90 ml) under ice-cooling, and extracted with AcOEt (200 ml, 3 × 100 ml). The AcOEt layer was washed successively with water, sat NaHCO<sub>3</sub> aq, water, and sat NaCl aq. Drying and evaporation gave the solid residue which was washed with Et<sub>2</sub>O (200 ml) to afford white crystals (72 g, 83.9%), m.p. 141–143°. Three recrystallizations from benzene–n-hexane (1:1) gave colorless prisms, m.p. 144–145°. (Found: C, 70.59; H, 5.57; N, 7.19. C<sub>23</sub>H<sub>22</sub>O<sub>4</sub>N<sub>2</sub> requires: C, 70.75; H, 5.68; N, 7.18%.)

*Methyl 6-[(1-methyl-3-indolyl)methyl]piperidine-2,5-dione-4-carboxylate (IXc).* The ester VIIIc (52 g, 0.15 mole) was allowed to react with a 50% NaH oil dispersion (16 g, 0.33 mole) in abs dioxan (400 ml), and the reaction mixture was treated as above. From the AcOEt layer, the product IXc (16.25 g, 34.4%) was obtained, m.p. 140–141°. An analytical sample recrystallized from benzene and benzene–n-hexane in the form of colorless prisms had m.p. 148–150°. (Found: C, 65.16; H, 5.90; N, 9.08. C<sub>17</sub>H<sub>18</sub>O<sub>4</sub>N<sub>2</sub> requires: C, 64.95; H, 5.77; N, 8.90%.)

When the above analytical sample was kept in a KOH–CaCl<sub>2</sub> desiccator at room temp for a month, it showed slight changes in the IR spectrum in nujol (for example,  $\nu_{\max}$  in the CO region, 1673 and 1636 cm<sup>-1</sup> → 1680, 1655 and 1640 cm<sup>-1</sup>). However, its m.p. and IR spectrum in CHCl<sub>3</sub> remained unchanged. A sample recrystallized again from benzene had the same m.p. and IR spectrum in the solid state. This fact revealed their dimorphic relation.

On the other hand, the NaHCO<sub>3</sub> aq layer was acidified with conc HCl aq and extracted with AcOEt. Washing the extract with water, drying, followed by evaporation afforded a reddish brown amorphous substance XIc (35 g), whose IR spectrum resembled that of the dicarboxylic acid obtained from VIIIc. Esterification of the amorphous substance with diazomethane furnished VIIIc.

#### *Benzyl esterification of methyl β-ketoesters (IX)*

*Benzyl 1-benzyl-6-[(1-methyl-3-indolyl)methyl]piperidine-2,5-dione-4-carboxylate (XIIf).* The ester IXb (4.04 g, 0.01 mole) in benzyl alcohol (5.4 ml, 0.05 mole) was heated in an oil bath at about 170° for 6 hr, while MeOH produced was subjected to distillation in a stream of N<sub>2</sub>. Evaporation of benzyl alcohol furnished a reddish brown viscous oil, which was dissolved in Me<sub>2</sub>CO while hot, followed by the addition of Et<sub>2</sub>O to yield colorless crystals. Filtration and washing with Et<sub>2</sub>O gave 4.45 g (92.7%) of XIIf, m.p. 109–114°. Two recrystallizations from benzene–n-hexane (2:3) afforded colorless needles, m.p. 119–120°. (Found: C, 75.05; H, 5.84; N, 6.01. C<sub>30</sub>H<sub>28</sub>O<sub>4</sub>N<sub>2</sub> requires: C, 74.98; H, 5.87; N, 5.83%.)

*Benzyl 1-benzyl-6-(3-indolylmethyl)piperidine-2,5-dione-4-carboxylate (XIIfa).* The ester IXa was treated as above, yield 93%. For analysis the crude product was recrystallized from benzene–n-hexane (1:1): colorless needles, m.p. 160–162°. (Found: C, 74.62; H, 5.56; N, 6.14. C<sub>29</sub>H<sub>26</sub>O<sub>4</sub>N<sub>2</sub> requires: C, 74.66; H, 5.62; N, 6.01%.)

*Benzyl 6-[(1-methyl-3-indolyl)methyl]piperidine-2,5-dione-4-carboxylate (XIIfc).* The ester XIIfc was obtained from IXc in nearly quantitative yield, and crystallized twice from Me<sub>2</sub>CO to yield colorless needles, m.p. 136–137.5°. (Found: C, 70.96; H, 5.80; N, 7.41. C<sub>23</sub>H<sub>22</sub>O<sub>4</sub>N<sub>2</sub> requires: C, 70.75; H, 5.68; N, 7.18%.)

#### *Catalytic debenzoylation and decarboxylation of benzyl β-ketoesters (XII)*

*1-Benzyl-6-[(1-methyl-3-indolyl)methyl]piperidine-2,5-dione (XIIIfb).* The ester XIIfb (4.8 g) in AcOEt soln (50 ml) was hydrogenated over 10% Pd–C<sup>27</sup> (1.6 g) at room temp and atm press for 2 hr. A slurry of 30% Pd–C<sup>27</sup> (0.5 g) in AcOEt (20 ml) was added to the reaction mixture, which was hydrogenated for 1.5 hr to absorb 80% of the calculated amount of H<sub>2</sub>. The catalyst was filtered and washed with benzene. The combined filtrates were evaporated to give a slightly yellow foam (2.9 g, 83.8%) which crystallized by the addition of Me<sub>2</sub>CO, m.p. 101–103°. After 3 recrystallizations from benzene–n-hexane (1:5) the colorless

small needles had m.p. 115–116°. (Found: C, 76.45; H, 6.63; N, 7.86.  $C_{22}H_{22}O_2N_2$  requires: C, 76.27; H, 6.40; N, 8.09%.)

6-[(1-Methyl-3-indolyl)methyl]piperidine-2,5-dione (XIIIc). The ester XIIc in EtOH was hydrogenated as above to give XIIIc in 94% yield. Recrystallization from benzene–n-hexane afforded colorless small needles, m.p. 118.5–119.5°. (Found: C, 70.25; H, 6.15; N, 11.05.  $C_{15}H_{16}O_2N_2$  requires: C, 70.29; H, 6.29; N, 10.93%.)

1-Benzyl-6-[(1-methyl-3-indolyl)methyl]piperid-2-one (XIVb). A mixture of XIIIb (1.04 g), triethylene glycol (68 ml), hydrazine dihydrochloride (2.52 g) and 80% aqueous hydrazine hydrate (12.4 g) was stirred at 130° (internal temp) for 2.5 hr. After the addition of KOH (4.36 g), the internal temp was raised to 210° during 1 hr while removing low boiling materials, and kept there for 2.5 hr.<sup>28</sup> After dilution with water (68 ml) the reaction mixture was extracted with Et<sub>2</sub>O (250 ml), and the extract was washed successively with water, 2% HCl aq, water and sat NaCl aq. Drying and evaporation gave a slightly yellow oil (0.54 g, 54%) which crystallized with Me<sub>2</sub>CO. Two recrystallizations from benzene–n-hexane (1:5) yielded colorless small needles, m.p. 117–118°. (Found: C, 79.39; H, 7.16; N, 8.44.  $C_{22}H_{24}ON_2$  requires: C, 79.48; H, 7.28; N, 8.43%.)

#### Alkylation of benzyl β-ketoesters (XII)

*Benzyl bromoacetate.* A mixture of bromoacetic acid (50 g, 0.36 mole) and benzyl alcohol (43 ml, 0.40 mole) in benzene (50 ml) containing *p*-TsOH (0.4 g) was refluxed for 8 hr while removing water separated with a Cope's apparatus. The mixture was washed with sat NaHCO<sub>3</sub> aq and sat NaCl aq, and dried. Evaporation of the benzene gave an oily residue which was distilled at 136–137°/11 mm Hg (lit.<sup>29</sup> b.p.<sup>10</sup> 143°) to afford colorless benzyl bromoacetate (70.2 g, 85.2%),  $n_D^{24}$  1.541.

*Benzyl 1-benzyl-4-benzyloxycarbonyl-6-[(1-methyl-3-indolyl)methyl]piperidine-2,5-dione-4-acetate (XVIb).* A stirred mixture of XIIIb (33 g, 0.069 mole), benzyl bromoacetate (19 g, 0.083 mole) and powdered dry K<sub>2</sub>CO<sub>3</sub> (20 g, 0.14 mole) in Me<sub>2</sub>CO (150 ml) was refluxed for 7 hr. The inorganic materials were filtered and washed with hot Me<sub>2</sub>CO and benzene. Evaporation of the combined filtrates gave an oily residue which was dissolved in benzene, and washed with water and sat NaCl aq. Drying and evaporation left a yellow viscous oil (43 g, quantitative), showing a negative FeCl<sub>3</sub> test;  $\nu_{max}^{carb}$  cm<sup>-1</sup> 1740 (CO), 1675 (CON<), 745, 700 (benzene).  $\lambda_{max}$  285 m $\mu$ ,  $\lambda_{min}$  246 m $\mu$ . Two spots ( $R_f$  0.55, 0.43) were detected on a TLC plate (Merck silica gel GF<sub>254</sub>, benzene–EtOH (20:1)).\* The mixture was used directly for the next step.

*Benzyl 1-benzyl-4-benzyloxycarbonyl-6-(3-indolylmethyl)-piperidine-2,5-dione-4-acetate (XVIa).* The ester XIIa was converted quantitatively to XVIa as above in the form of a yellow viscous oil, showing a negative FeCl<sub>3</sub> test;  $\nu_{max}^{carb}$  cm<sup>-1</sup> 3430, 3320 (ind. NH), 1740 (CO), 1665 (CON<), 750, 700 (benzene);  $\lambda_{max}$  280, 289 m $\mu$ ,  $\lambda_{min}$  241 m $\mu$ .

#### Catalytic debenzoylation and decarboxylation of dibenzyl esters (XVI)

1-Benzyl-6-[(1-methyl-3-indolyl)methyl]piperidine-2,5-dione-4-acetic acid (XVIIb). (i) in THF. The ester XVIIb (96 g) in THF (700 ml) was hydrogenated over 5% Pd–C† (14.7 g) at room temp and atm press for 7 hr. A slight excess of the calculated amount (two mole equivs) of H<sub>2</sub> was absorbed. The catalyst was filtered and washed with THF. The combined filtrates were evaporated to the residue, which was triturated with Me<sub>2</sub>CO–Et<sub>2</sub>O (1:2, 50 ml). The crystals (51 g, 82.5%) was filtered off, washed with a small amount of Me<sub>2</sub>CO–Et<sub>2</sub>O (1:2), and recrystallized twice from MeOH to afford colorless prisms, m.p. 186–188°. (Found: C, 71.37; H, 6.16; N, 7.05.  $C_{24}H_{24}O_4N_2$  requires: C, 71.27; H, 5.98; N, 6.93%);  $\nu_{max}$  cm<sup>-1</sup> 1724 (CO), 1617 (CON<), 745, 711 (benzene).  $\lambda_{max}$  m $\mu$  ( $\epsilon$ ) 222 (37,100), 287 (6850).

(ii) in EtOH–AcOEt. A suspension of XVIIb (37 g) and 30% Pd–C<sup>30</sup> (7 g) in EtOH (280 ml) and AcOEt (20 ml) was hydrogenated at 40° and atm press for 5 hr. To the reaction mixture was added a slurry of

\* M. Yui in our laboratory separated one epimer ( $R_f$  0.55) as crystals by allowing the oily mixture to stand and treating with EtOH and AcOEt. Recrystallizations from toluene–n-hexane (3:2) gave colorless pillars, m.p. 118–119°. (Found: C, 74.56; H, 5.81; N, 4.43.  $C_{39}H_{36}O_6N_2$  requires: C, 74.50; H, 5.77; N, 4.46%).  $\nu_{max}$  cm<sup>-1</sup> 1746, 1733 (CO), 1663 (CON<), 738, 696 (benzene).  $\nu_{max}^{carb}$  cm<sup>-1</sup> 1740 (CO), 1657 (CON<);  $\lambda_{max}$  286 m $\mu$  ( $\epsilon$  6190),  $\lambda_{min}$  248 m $\mu$  ( $\epsilon$  3470). Catalytic debenzoylation and decarboxylation of this isomer afforded the same acid XVIIb as that obtained from the oily diastereoisomeric mixture.

† Purchased from Japan–Engelhard Co. Ltd.

10% Pd-C<sup>27</sup> (1.9 g) in AcOEt (15 ml), and the catalytic hydrogenation was carried out at 40° for 6 hr to absorb 90% of the calculated amount of H<sub>2</sub>. Filtration, washing the catalyst, and evaporation of the filtrates afforded a mixture of oil and foam which crystallized by the addition of Me<sub>2</sub>CO and was washed with Me<sub>2</sub>CO (5 ml)-EtOH (15 ml)-Et<sub>2</sub>O (180 ml) to give colorless crystals (4.85 g). After evaporation of the mother liquor the residue was dissolved in benzene-AcOEt and extracted with sat NaHCO<sub>3</sub> aq. The NaHCO<sub>3</sub> layer was acidified and extracted with benzene-AcOEt. Drying and evaporation of the extract gave a foam which on trituration with Me<sub>2</sub>CO yielded colorless crystals (2.98 g), total yield of XVIIIb was 7.83 g, 33%.

On the other hand, after extraction with NaHCO<sub>3</sub> aq the benzene-AcOEt layer was washed with water, dried and evaporated to give colorless crystals (3.08 g), m.p. 136-140°. Two recrystallizations from benzene-n-hexane (2:3) afforded colorless small pillars, m.p. 141-142°. Its structure was determined as the corresponding ethyl ester XVIIIb from analytical and spectral data. (Found: C, 72.42; H, 6.60; N, 6.48. C<sub>26</sub>H<sub>28</sub>O<sub>4</sub>N<sub>2</sub> requires: C, 72.20; H, 6.53; N, 6.48%;  $\nu_{\max}$  cm<sup>-1</sup> 1737 (CO<sub>2</sub>Et), 1725 (C=O), 1665 (CON $\langle$ ), 750, 699 (benzene).  $\lambda_{\max}$  m $\mu$  ( $\epsilon$ ) 221 (37,300), 287.5 (5750).

When the catalytic reduction was carried out with 5% Pd-C\* in the same solvent system, H<sub>2</sub> uptake (two mole equiv) was observed at room temp and atm press. However, a small amount of XVIIIb was produced and the yield of XVIIIb was low.

(iii) *Hydrolysis of ethyl ester (XVIIIb)*. The ester XVIIIb (2.65 g, 6.13 mmole) in dioxan (10 ml) was allowed to react with a soln of 85% KOH (0.44 g, 6.74 mmole) in water (3 ml) at room temp for 24 hr. Most of the solvent was removed, the remaining foam was dissolved with water, and the soln was washed with a mixture of benzene and AcOEt. The acidified aqueous layer was extracted with benzene-AcOEt. The organic layer was washed with water, dried and evaporated to give XVIIIb (2.1 g, 85%), m.p. 175-177°.

(iv) *Hydrolysis and catalytic debenzoylation*. The ester XVIb (3.5 g, 5.6 mmole) was heated under gentle reflux for 4.5 hr with a soln of 85% KOH (0.39 g, 5.9 mmole) in water (2 ml). After 24 hr standing work-up as above (iii) gave a foam (2.1 g), which was hydrogenated in EtOH (30 ml) at 50° and atm press in the presence of 30% Pd-C<sup>30</sup> (0.5 g). During 3 hr a slight excess of one mole equiv of H<sub>2</sub> was absorbed. The catalyst was removed and washed with EtOH, the filtrate was distilled off, and the residue (1.7 g) was crystallized from Me<sub>2</sub>CO-Et<sub>2</sub>O to give XVIIIb (0.5 g, 21% from XVIb), m.p. 176-182°.

1-Benzyl-6-(3-indolylmethyl)piperidine-2,5-dione-4-acetic acid (XVIIa). The ester XVIa (90 g, 0.146 mole) in EtOH (500 ml) was hydrogenated over 30% Pd-C<sup>27</sup> (15 g) at room temp and atm press for 2.5 hr and then at 40° for 4.5 hr. After addition of 30% Pd-C<sup>27</sup> (4 g) in EtOH (50 ml), the reduction was carried out at room temp for 3 hr and again at 40° for 2.5 hr. H<sub>2</sub> uptake was slightly less than that of the calculated amount. Conventional work-up afforded colorless crystals (52 g, 91%), which was washed with EtOH-Et<sub>2</sub>O and Et<sub>2</sub>O, and recrystallized twice from Me<sub>2</sub>CO to give colorless prisms, m.p. 212-213° (dec). (Found: C, 70.61; H, 5.61; N, 7.30. C<sub>23</sub>H<sub>22</sub>O<sub>4</sub>N<sub>2</sub> requires: C, 70.75; H, 5.68; N, 7.18%;  $\nu_{\max}$  cm<sup>-1</sup> 3340 (ind. NH), 1731 (C=O), 1714 (CO<sub>2</sub>H), 1605 (CON $\langle$ ), 733, 699 (benzene).  $\lambda_{\max}$  m $\mu$  ( $\epsilon$ ) 217.5 (41,300), 281 (6200), 290 (5120).

#### *Huang-Minlon reduction of keto-carboxylic acids (XVII)*

1-Benzyl-6-[(1-methyl-3-indolyl)methyl]-2-piperidone-4-acetic acids (XIXb-1 and XIXb-2). A soln of XVIIIb (101 g), 80% aqueous hydrazine hydrate (500 ml), and 85% KOH (70 g) in triethylene glycol (2500 ml) was stirred at 130° (internal temp) for 1 hr. The internal temp was raised to 190° during 1 hr while water and excess hydrazine were removed. Heating was continued at 190-200° for 1 hr. The cooled reaction mixture was made up to 10 l. by dilution with water and acidification with conc HCl aq (350 ml). After chilling in a refrigerator overnight, the ppts were collected, washed with water (5 l.) and dried. The half-dried product (315 g) was washed with Me<sub>2</sub>CO (300 ml)-Et<sub>2</sub>O (200 ml) and Me<sub>2</sub>CO (50 ml)-Et<sub>2</sub>O (50 ml) to give 51 g of solid, which was extracted with hot MeOH (300 and 200 ml) to leave 26 g of solid (A), m.p. 224-229°. The MeOH extracts on standing gave 0.7 g of crystals (B), m.p. 228-229°. The identity of A and B (XIXb-2) was confirmed by their IR comparison. Total yield of XIXb-2 was 26.7 g (27.4%). For analysis a sample was recrystallized 3 times from MeOH: colorless small needles, m.p. 233-234°. (Found: C, 73.73; H, 6.67; N, 7.48. C<sub>24</sub>H<sub>26</sub>O<sub>3</sub>N<sub>3</sub> requires: C, 73.82; H, 6.71; N, 7.18%;  $\nu_{\max}$  cm<sup>-1</sup> 1719 (CO<sub>2</sub>H), 1594,

\* See footnote on page 4162

1584 (CON $\angle$ ), 733, 717, 693 (benzene);  $\nu_{\max}^{\text{DMSO}}$  cm $^{-1}$  1715 (CO $_2$ H), 1631 (CON $\angle$ ), 785, 758 (benzene);  $\lambda_{\max}$  m $\mu$  (e) 224 (37,500), 289 (5990); NMR (DMSO) 6.28 (3H s, N·Me), 5.73, 4.78 (2H doublet-d, AB type  $J = 15$  c/s, N·CH $_2$ ·Ph), 2.89 (1H, ind.  $\alpha$ -H).

The MeOH extracts after collecting B was evaporated and the residue crystallized from Me $_2$ CO to give 6 g of colorless crystals (C), m.p. 182–187°. On the other hand the first washings (Me $_2$ CO–Et $_2$ O soln) were evaporated to the residue which was washed with Me $_2$ CO (3  $\times$  50 ml) to yield 13.1 g of colorless solid (D), m.p. 182–188°. The IR spectra of C and D (XIXb-1) were indistinguishable. The total yield of XIXb-1 was 19.1 g (19.6%). For analysis a sample was recrystallized 3 times from MeOH: colorless prisms, m.p. 191–193°. (Found: C, 73.56; H, 6.85; N, 7.12. C $_{24}$ H $_{26}$ O $_3$ N $_2$  requires: C, 73.82; H, 6.71; N, 7.18%);  $\nu_{\max}$  cm $^{-1}$  1720 (CO $_2$ H), 1594 (CON $\angle$ ), 735, 705 (benzene);  $\nu_{\max}^{\text{DMSO}}$  cm $^{-1}$  1715 (CO $_2$ H), 1632 (CON $\angle$ ), 782, 757 (benzene).  $\lambda_{\max}$  m $\mu$  (e) 223 (37,600), 288 (5740). NMR (DMSO) 6.28 (3H s, N·Me), 5.53, 4.71 (2H doublet-d, AB type  $J = 14$  c/s, N·CH $_2$ ·Ph), 2.98 (1H s, ind.  $\alpha$ -H).

1-Benzyl-6-(3-indolylmethyl)-2-piperidone-4-acetic acid (XIXa). The Huang–Minlon reduction of XVIIa gave XIXa in 49.1% yield. Successive recrystallizations from Me $_2$ CO, EtOH and MeCN afforded colorless prisms, m.p. 225–227°. (Found: C, 73.16; H, 6.41; N, 7.85. C $_{23}$ H $_{24}$ O $_3$ N $_2$  requires: C, 73.38; H, 6.43; N, 7.44%);  $\nu_{\max}$  cm $^{-1}$  3340 (ind. NH), 1695 (CO $_2$ H), 1591, 1580 (CON $\prime$ ), 745, 721, 699 (benzene).  $\lambda_{\max}$  m $\mu$  (e) 220 (35,200), 282.5 (5260), 291 (4920).

6-[(1-Methyl-3-indolyl)methyl]-2-piperidone-4-acetic acid (XXIIIb).

(i) *Debenzylation of XIXb-1*. Metallic Na (2.5 g, 0.11 atom) was added with stirring in small pieces to XIXb-1 (15.6 g, 0.04 mole) in liquid NH $_3$  (4 l.) during 2 hr. After evaporation of NH $_3$ , the white residue was dissolved in water (150 ml). The aqueous layer was washed with Et $_2$ O and acidified with conc HCl aq (20 ml) to afford a gum which was solidified by the addition of AcOEt (50 ml). The pale brown solid was collected and washed with Me $_2$ CO–Et $_2$ O: yield 7.1 g, m.p. 161–163°. The washings were combined with the AcOEt soln and washed with water and sat NaCl aq. Evaporation of the dried soln yielded a foam, which was crystallized by the addition of Me $_2$ CO and was washed with Me $_2$ CO–Et $_2$ O to give 2.95 g of pale brown solid, m.p. 159–161°, total yield of XXIIIb was 10.05 g.

(ii) *Hydrolysis of methyl ester of XXIIIb*. To the ester of XXIIIb (0.13 g, 0.41 mmole) obtained below in MeOH (3 ml) 85% KOH (0.05 g, 0.82 mmole) and water (1 ml) was added. The mixture was heated to obtain a soln and left at room temp for 3 hr. The residue on evaporation was dissolved in water (4 ml), acidified with 10% HCl aq (1 ml) and the white ppts were extracted with CHCl $_3$  containing a small amount of MeOH. The extract was washed with water, dried and evaporated to give a slightly yellow oil. Crystallization from a large amount of Me $_2$ CO afforded colorless small prisms, which were dried over P $_2$ O $_5$  at 90°/5 mm Hg for 3 days, m.p. 170–171°. (Found: C, 65.88; H, 6.70; N, 9.10. C $_{17}$ H $_{20}$ O $_3$ N $_2$  ·  $\frac{1}{2}$ H $_2$ O requires: C, 66.00; H, 6.84; N, 9.06%);  $\nu_{\max}$  cm $^{-1}$  3265, 3180 (NH), 1731 (CO $_2$ H), 1637 (CONH), 737 (benzene);  $\lambda_{\max}$  m $\mu$  (e) 224 (32,400), 288 (5080).

*Methyl 6-[(1-methyl-3-indolyl)methyl]-2-piperidone-4-acetate*

The acid XXIIIb (0.5 g) was dissolved in THF (10 ml) and MeOH (4 ml). A cold Et $_2$ O soln (18 ml) of fresh diazomethane prepared from N-nitrosomethylurea (1.8 g) $^{31}$  was added at 5° during 10 min. After addition of Et $_2$ O (12 ml), the reaction mixture was stirred at room temp. The crystals separated after 45 min. MeOH (3 ml) was added to dissolve the crystals, and stirring was continued at room temp for 3 hr. Excess diazomethane was destroyed with a few drops of AcOH, and the solvents were distilled off. The residual crystals were washed with Et $_2$ O: 0.50 g (96%) of colorless crystals, m.p. 154–158°. Three recrystallizations from MeOH gave colorless fluffy needles, m.p. 165–166°. (Found: C, 68.68; H, 7.03; N, 8.96. C $_{18}$ H $_{22}$ O $_3$ N $_2$  requires: C, 68.77; H, 7.05; N, 8.91%);  $\nu_{\max}$  cm $^{-1}$  3280, 3190 (NH), 1735 (CO $_2$ Me), 1666 (NHCO), 741 (benzene);  $\lambda_{\max}$  m $\mu$  (s) 224 (38,200), 288 (6030).

*PPA cyclization of lactam-carboxylic acids (XIX and XXIIIb)*

3-Benzyl-9-methyl-1,2,3,4,5,6,7,8-octahydro-9H-2,6-methanoazecino[5,4-b]indole-4,8-dione (XXb). (i) *PPA cyclization of XIXb-1*. The acid XIXb-1 (2.0 g) was added to hot PPA (102 g), and the mixture was stirred vigorously at 100–110° (internal temp) for 30 min. Addition of the mixture to ice-water (500 ml) was followed by extraction with AcOEt (500 ml). The AcOEt extract was washed successively with 10%

$K_2CO_3$  aq (100 ml), sat  $NaHCO_3$  aq (100 ml), water (50 ml) and sat  $NaCl$  aq (50 ml), dried and evaporated to leave a mixture of oil and foam, which was solidified by the addition of  $Me_2CO$ . Washing with  $Me_2CO-Et_2O$  afforded pale yellow crystals (0.94 g), m.p. 189–193.5°. From the washing another crop (0.14 g, m.p. 188–193°) was obtained, total yield: 1.08 g (56.8%). Recrystallizations from  $Me_2CO$  gave colorless prisms, m.p. 194–195°. (Found: C, 77.63; H, 6.71; N, 7.46.  $C_{24}H_{24}O_2N_2$  requires: C, 77.39; H, 6.50; N, 7.52%);

$\nu_{max}$   $cm^{-1}$  1623 (broad, C=O, CON<), 737, 700 (benzene);  $\nu_{max}^{CH}$   $cm^{-1}$  1630 (broad, C=O, CON<).

$\lambda_{max}$   $m\mu$  (e) 238 (16,100), 316 (19,600). NMR ( $CDCl_3$ ) 5.87 (3H s, N·CH<sub>3</sub>), 5.68, 4.44 (2H doublet-d, AB type  $J = 14.0$  c/s, N·CH<sub>2</sub>·Ph). Mass  $m/e$  372 ( $M^+$ ), 187, 186 (base peak), 91.

(ii) *Methylation of XXa*. To a stirred soln of XXa (9.4 mg) in  $Me_2CO$  (1 ml) was added 66 w/v % KOH aq (1.2 ml), followed by the dropwise addition of  $Me_2SO_4$  (1.5 ml) during 10 min. After stirring at room temp for 20 min, the reaction mixture was diluted with water (20 ml) and extracted with  $AcOEt$  (50 ml). The  $AcOEt$  extract was washed successively with sat  $NaHCO_3$  aq, water and sat  $NaCl$  aq, dried and evaporated leaving an oil which crystallized with  $Me_2CO$  to give 3.05 mg (32%) of colorless crystals, m.p. 180–185°. The product was indistinguishable with XXb obtained in (i) on TLC and IR spectra.

*PPA cyclization of XIXb-2*. The condensation of XIXb-2 (2.9 g) in PPA (150 g) was carried out as above to yield pale yellow crystals (0.40 g), m.p. > 290°. Two recrystallizations from  $CHCl_3$  afforded colorless crystals, m.p. > 290°. (Found: C, 67.42; H, 5.64; N, 6.94%).  $\nu_{max}$   $cm^{-1}$  1627 (broad), 751, 713 (benzene);  $\lambda_{max}$   $m\mu$  256, 303, 347, unchanged in acid. From the alkaline layer 1.10 g of the starting material (XIXb-2) was recovered.

*3-Benzyl-1,2,3,4,5,6,7,8-octahydro-9H-2,6-methanoazecino[5,4-b]indole-4,8-dione (XXa)*. PPA cyclization of XIXa afforded XXa in 11.4% yield. Recrystallizations from  $Me_2CO$  gave slightly yellow crystals, m.p. 240–242°. (Found: C, 76.76; H, 6.23; N, 7.61.  $C_{23}H_{22}O_2N_2$  requires: C, 77.07; H, 6.19; N, 7.82%);  $\nu_{max}$   $cm^{-1}$  3300 (ind. NH), 1621 (broad, C=O, CON<), 754, 702 (benzene);  $\nu_{max}^{CH}$   $cm^{-1}$  3440 (ind. NH), 1633 (broad, C=O, CON<);  $\lambda_{max}$   $m\mu$  (e) 235 (9800), 317 (14,900). NMR ( $CDCl_3$ ) 5.66, 4.36 (2H doublet-d, AB type  $J = 14.6$  c/s, N·CH<sub>2</sub>·Ph). Mass  $m/e$  358 ( $M^+$ ), 172 (base peak), 91.

*9-Methyl-1,2,3,4,5,6,7,8-octahydro-9H-2,6-methanoazecino[5,4-b]indole-4,8-dione (XXIVb)*. The acid XXIIIb (9.2 g) in PPA (500 g) was allowed to react as above. The reaction mixture was poured into ice-water and extracted with  $CHCl_3$ . The  $CHCl_3$  extract was washed successively with 10 w/v %  $K_2CO_3$  aq, water and sat  $NaCl$  aq. Drying and evaporation yielded a mixture (5.4 g) of a foam and crystals, which was chromatographed on silicagel (180 g) (Kanto Kagaku Co. Ltd., 100–200 mesh). Elution with  $CHCl_3-Me_2CO$  (1:1) yielded a white solid (1.30 g, 15.4%), m.p. 269–271°. One recrystallization from  $Me_2CO$  gave colorless prisms, m.p. 269–270°. (Found: C, 72.20; H, 6.49; N, 10.22.  $C_{17}H_{18}O_2N_2$  requires: C, 72.32; H, 6.43; N, 9.92%);  $\nu_{max}$   $cm^{-1}$  3200 (NH), 1648 (NHCO), 1640 (C=O), 733 (benzene);  $\lambda_{max}$   $m\mu$  (e) 238 (15,200), 314.5 (20,200).

#### LAH reduction of keto-lactams (XX and XXIVb)

*3-Benzyl-9-methyl-1,2,3,4,5,6,7,8-octahydro-9H-2,6-methanoazecino[5,4-b]indol-8-ol (XXIb)*. To a soln of XXb (2.06 g) in abs THF (100 ml) was added LAH (1.0 g). The reaction mixture was stirred at reflux for 4 hr and cooled, the excess hydride was decomposed with THF (30 ml)-water (3 ml), and water (3 ml). The Al salts were filtered and washed with THF (70 ml), and the combined filtrates were dried and concentrated. The residue was washed with benzene- $Et_2O$  and  $Et_2O$  to yield a colorless solid (1.19 g, 60.1%), m.p. 200–210°. Two recrystallizations from  $Me_2CO$  afforded colorless plates, m.p. 210–212° (dec). (Found: C, 79.95; H, 7.69; N, 7.74.  $C_{24}H_{28}ON_2$  requires: C, 79.96; H, 7.83; N, 7.77%);  $\nu_{max}$   $cm^{-1}$  1077 (C—O), 737, 701 (benzene).  $\lambda_{max}$   $m\mu$  (e) 229 (34,850), 291 (7530); Mass  $m/e$  360 ( $M^+$ ), 342 ( $M^+ - H_2O$ ), 186 (base peak), 91).

*3-Benzyl-1,2,3,4,5,6,7,8-octahydro-9H-2,6-methanoazecino[5,4-b]indol-8-ol (XXIa)*. The LAH reduction of XXa was carried out as above. The crude product (yield 96%) was solidified with  $Et_2O$ , m.p. 127–138°. Although repeated recrystallizations from  $Me_2CO$  gave colorless small needles, the m.p. did not become sharp and constant. So the crude product was used without purification for the next step;  $\nu_{max}$   $cm^{-1}$  ca. 3400 (broad, ind. NH, OH), 1071 (C—O), 735, 696 (benzene);  $\lambda_{max}$   $m\mu$  222, 284, 292. Mass  $m/e$  346 ( $M^+$ ), 328 ( $M^+ - H_2O$ ), 172, 91 (base peak).

*9-Methyl-1,2,3,4,5,6,7,8-octahydro-9H-2,6-methanoazecino[5,4-b]indol-8-ol (XXVb)*. The lactam XXIVb was reduced with LAH for 11 hr. The crude product (yield 64.5%) was crystallized from  $Me_2CO$ . Two more recrystallizations from  $Me_2CO$  afforded colorless prisms, m.p. 218–221°. (Found: C, 75.51; H, 8.08;

N, 10-39.  $C_{17}H_{22}ON_2$  requires: C, 75.52; H, 8.20; N, 10.36%;  $\nu_{\max}$   $cm^{-1}$  3270 (NH, OH), 1071 (C—O), 740 (benzene);  $\lambda_{\max}$   $\mu\mu$  ( $\epsilon$ ) 226.5 (34,700), 288 (7830). Mass  $m/e$  270 ( $M^+$ ), 252 ( $M^+ - H_2O$ ), 175 (base peak), 82.

*Chromic acid oxidation of amino-alcohols (XXI and XXVb)*

3-Benzyl-9-methyl-1,2,3,4,5,6,7,8-octahydro-9H-2,6-methanoazecino[5,4-b]indol-8-one (XXIIb). To alcohol XXIIb (0.72 g) in abs pyridine (20 ml) was added a slurry of  $CrO_3$  (0.72 g) in abs pyridine (20 ml). The dark-colored reaction mixture was kept at room temp for 5 min with occasional shaking, poured into water (250 ml), and extracted with AcOEt (400 ml). The AcOEt layer was washed with water, sat NaCl aq, dried and evaporated. The solid brown residuc (0.7 g, 97%) was washed with  $Me_2CO-Et_2O$  (1:1) and  $Me_2CO$ , and recrystallized twice from EtOH to give colorless needles, m.p. 166–168°. (Found: C, 80.07; H, 7.34; N, 7.67.  $C_{24}H_{26}ON_2$  requires: C, 80.41; H, 7.31; N, 7.82%;  $\nu_{\max}$   $cm^{-1}$  1635 (C=O), 741, 734, 697 (benzene);  $\lambda_{\max}$   $\mu\mu$  ( $\epsilon$ ) 241 (14,700), 317 (15,200). Mass  $m/e$  358 ( $M^+$ ), 172 (base peak), 91.

3-Benzyl-1,2,3,4,5,6,7,8-octahydro-9H-2,6-methanoazecino-[5,4-b]indol-8-one (XXIIa). The alcohol XXIIa was oxidized with  $CrO_3$  in pyridine to give XXIIa in 86.7% yield. For analysis a sample was recrystallized twice from EtOH to yield colorless small needles, m.p. 211–212°. (Found: C, 80.17; H, 7.11; N, 8.32.  $C_{23}H_{24}ON_2$  requires: C, 80.20; H, 7.02; N, 8.13%;  $\nu_{\max}$   $cm^{-1}$  3300 (ind. NH), 1632 (C=O), 748, 731, 694 (benzene).  $\lambda_{\max}$   $\mu\mu$  ( $\epsilon$ ) 237 (12,500), 318 (16,000); Mass  $m/e$  344 ( $M^+$ ), 172 (base peak), 91.

12-Methyl-1,2,3,4,6,7,12,12b-octahydro-2,6-methanoindolo[2,3-a]quinolizin-12b-ol (XXVIB)

(i)  $CrO_3$  Oxidation of XXVb. The amine XXVIB was obtained from XXVb by  $CrO_3$  oxidation in 75.8% yield. Two recrystallizations from THF afforded colorless small prisms, m.p. 257–258°. (Found: C, 76.08; H, 7.12; N, 10.37.  $C_{17}H_{20}ON_2$  requires: C, 76.08; H, 7.51; N, 10.44%;  $\nu_{\max}$   $cm^{-1}$  3555 (OH), 1124 (C—O), 744 (benzene);  $\nu_{\max}^{CH}$   $cm^{-1}$  1643 (C=O), 1125 (C—O);  $\lambda_{\max}$   $\mu\mu$  ( $\epsilon$ ) 227.5 (31,800), 285 (6770);  $\lambda_{ab}$   $\mu\mu$  ( $\epsilon$ ) 292 (6450), 316 (2490);  $\lambda_{\max}^{EtOH-HCl}$   $\mu\mu$  ( $\epsilon$ ) 223.5 (33,150), 276 (6265), 285 (6440), 317 (4100);  $\lambda_{ab}^{EtOH-HCl}$  295 ( $\epsilon$  5940);  $\lambda_{\max}^{CH}$  297  $\mu\mu$  ( $\epsilon$  6170);  $\lambda_{ab}^{CH}$  315  $\mu\mu$  ( $\epsilon$  5040);  $\lambda_{\max}^{THF}$  295  $\mu\mu$  ( $\epsilon$  6900);  $\lambda_{ab}^{THF}$  314.5  $\mu\mu$  ( $\epsilon$  5090);  $\lambda_{\max}^{MeCN}$   $\mu\mu$  ( $\epsilon$ ) 229 (32,000), 286.5 (6180), 293 (6190); Mass  $m/e$  268 ( $M^+$ , base peak), 251 ( $M^+ - OH$ ), 212, 198 (XXXb).

(ii) Catalytic debenzoylation of XXIIb. The ketone XXIIb (0.52 g) in THF (20 ml) was hydrogenated over 5% Pd-C\* (0.60 g) at 40° and atm press for 3 hr. Addition of the catalyst\* (0.1 g) was followed by reduction at the same temp for 6 hr. After further addition of 30% Pd-C<sup>30</sup> (0.1 g) in THF (3 ml), the hydrogenation was carried out at room temp for 1.5 hr and at 40° for 2 hr. Removal of the catalysts, washing with THF,  $Me_2CO$  and benzene, and evaporation of the combined filtrates afforded a solid which was washed with  $Me_2CO-Et_2O$  to give 0.1 g of a brown white solid. It was washed with hot  $Me_2CO$  (100 ml) to leave 0.01 g of an undissolved white solid, m.p. 249–253°. Concentration of the  $Me_2CO$  soln to 50 ml gave 0.02 g of a white solid. A third crop (0.03 g) of crystals was obtained from the mother liquor by allowing it to stand at room temp. Total yield of XXVIB was 0.05 g (13%). Recrystallization from  $Me_2CO$  afforded colorless small prisms, m.p. 256–258°.

The identity of the products obtained in (i) and (ii) was confirmed by mixed melting point test and IR comparison.

3,9-Dimethyl-1,2,3,4,5,6,7,8-octahydro-9H-2,6-methanoazecino[5,4-b]indol-8-one  $\equiv$  1-Methyl-16-demethoxy-carbonyl-20-desethylidenevobasine (IV)

To the amine XXVIB (0.13 g) was added 98%  $HCO_2H$  aq (1.5 ml) and 37%  $HCHO$  aq (1.5 ml). The mixture was heated on a water bath for 2 hr, and poured into a mixture of water (50 ml) and 10% HCl aq (5 ml). After washing with  $Et_2O$  (30 ml), the aqueous layer was made alkaline with NaOH pellet, and extracted with AcOEt. The AcOEt extract was washed with water and sat NaCl aq, dried and evaporated to leave a mixture (0.12 g) of oil and solid, which was chromatographed on silicagel (6 g) (Kanto Kagaku Co. Ltd., 100–200 mesh). Fractions of 3 ml were collected. Elution with  $HCl_3-MeOH$  (10:1) yielded 72 mg (53%) of the desired IV in the fractions 3–5. Two recrystallizations from  $Me_2CO$  afforded colorless prisms, m.p. 163.5–164°. (Found: C, 76.12; H, 7.38; N, 9.52.  $C_{18}H_{22}ON_2$  requires: C, 76.56; H, 7.85; N, 9.92%;  $\nu_{\max}$   $cm^{-1}$  1631 (C=O), 740 (benzene);  $\nu_{\max}^{CH}$   $cm^{-1}$  1642 (C=O).  $\lambda_{\max}$   $\mu\mu$  ( $\epsilon$ ) 239 (16,100), 316 (18,700);  $\lambda_{\max}^{EtOH-HCl}$   $\mu\mu$  ( $\epsilon$ ) 239.5 (15,350), 316 (20,100); Mass  $m/e$  282 ( $M^+$ ), 110 (XXXII), 96 (XXXI, base peak).

\* See footnote \* on page 4162

*Acknowledgements*—We wish to record our debt to Messrs. M. Yui and K. Ichikawa for their able preparative assistance, and to convey our thanks to Dr. T. Hino of the National Institute of Radiological Sciences for the NMR spectra, and to Dr. T. Nakashima of the Institute of Applied Microbiology of this University for the mass spectra. We are also indebted to the members of the Central Analysis Room of this Faculty for the IR, UV and microanalytical data.

Further, we should like to express our appreciation of partial support of our program by a Grant-in-Aid for Individual Research from the Ministry of Education.

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